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16. Portfolio

Management

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| Formula with

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Carroll School of Management at Boston College. His main research interests are in derivatives and securities markets. He is co-author (with Zvi Bodie and Alex Kane) of the texts Investments and Essentials of Investments.

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Growth Models (3 of

3) Ticker Return on
capital (%) Payout

Ratio (%) Growth

Rate 2017-2020

Electric utilities (East
Coast) Dominion

Resources D 8.5%

73.0% 10.1%

Consolidated Edison

ED 5.5 69.0 1.6 Duke

Energy DUK 5.0 75.0

3.8 Eversource ES

6.0 58.0 6.0

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Zhipeng Yan b. Risk

concerns: Differences

in risk tolerance

create demand for

assets with a variety

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of risk-return

combination. 2.

Business sector:

business is more

concerned about how

to finance their

investments, through

debt or equity either

privately or publicly.

Chapter One: The

Investment

Environment

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and Collateralized
Debt Obligations
(CDOs) • Major
mechanism to
reallocate credit risk
in the fixed-income
markets –Structured
Investment Vehicle
(SIV) often used to
create the CDO
–Loans are pooled
together and split into

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tranches with different
levels of default risk.

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MARCUS 9-4 • The market portfolio (M) is on the efficient frontier and is on the Capital Market Line • All investors will hold the same portfolio for risky assets – market portfolio (M) • Market portfolio contains all securities and the proportion of each security is its market value as a percentage

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CHAPTER 9

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He is co-author (with
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Professor Marcus has
served as a research
fellow at the National

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Bureau of Economic Research. Professor Marcus also spent two years at Freddie Mac, where he helped to develop mortgage pricing and credit risk models.

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risk – Global
investment presents
far greater political
risks than US-based
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developments:
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MARCUS 12-16

Trends and

Corrections: The

Search for Momentum

Dow Theory

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1. Primary trend : Long-term movement of prices, lasting from several months to several years.

2. Secondary or intermediate trend: short-term deviations of prices from the underlying trend line and are eliminated by corrections.

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